## Rec'd PCT/FTG 12 OCT 2004

# "METHOD FOR ORGANISING COMMUNICATION BETWEEN MANAGER OBJECTS AND MANAGED OBJECTS IN A COMMUNICATION NETWORK, ARCHITECTURE AND SOFTWARE THEREOF"

#### Technical Field

This invention refers to the methods for establishing a communication between at least one manager object (hereinafter called "manager" in brief) and at least one managed object (hereinafter called "agent" in brief) in the context of a telecommunication network.

#### Background Art

A typical reference architecture used for this purpose is shown in figure 1 illustrating the connection between a manager module A and a certain number of agent elements B1, B2, B3, ... interconnected by a telecommunication network R.

This architecture, for example, is described in SNMP (Simple Network Management Protocol) specifications. Reference can be made to document RFC1157, revision 1990.

On a general level, Internet protocol architecture implements four logic levels, commonly called Application (A), Transport (T), Network (N) and Link (L).

As shown in figure 2, each level is in fact nested within the protocols underneath. For example, Application level protocols, such as the previously mentioned SNMP and TFTP, i.e. Telnet or FTP protocols, are nested within the protocols underneath.

Specifically, SNMP and TFTP protocols are nested in UDP (User Datagram Protocol), which in turn is nested in IP (Internet Protocol), and consequently injected in the physical carrier (cable, fibre, radio waves), indicated by reference D, by software drivers or hardware devices, to implement the Link L on a physical level.

Similarly, Telnet and TFTP protocols are nested in TCP (Transmission Control Protocol) which in turn is nested in

2

the IP protocol and consequently injected in the physical carrier device L.

The main characteristics of TCP and UDP on the transport level can be described in the following terms.

system communication oriented a 5 is (systems are identified by a network address) and it is linked to the software it employs. A connection, i.e. a permanent communication with the remote system, must be established before establishing a communication using this 10 protocol. Data transfer is controlled and guaranteed but slow, especially when discontinuous or small. The delay is caused by the characteristics of the IP protocol and by the fact the connection is created after each request and removed, i.e. shut down, at the end of the communication if 15 it is not used. The communication is costly in terms of employed system resources due to the complexity of the protocol and the checks to which data and connection are subjected to ensure correctness of the communication.

Conversely, the UDP protocol is process communication 20 oriented and process communications are identified by logical ports each characterised by a number in the range from 0 to 65535. For transmission, the protocol accepts messages from various application procedures and passes them to IP protocols for transmission. This function is For reception, the UDP protocol 25 called multiplexing. receives data packages from the IP layer via a destination called defunction is This process. application multiplexing.

The UDP protocol is much lighter in terms of resource utilisation and is simple to implement and manage. Specifically, it employs a brief sixty-four bit header (called "PDU User Header") divided into "source port", "destination port", "length" and "check sum" and a ninety-two bit header containing the "source address",

3

"destination address", "filler", "protocol type", "length PDU" fields.

The UDP protocol is fast because the IP transmission protocol does not require processing or checks; it simply transmits, where possible, from the current network address to the destination network address.

The native UDP protocol does not employ reception acknowledgement messages, does not sort messages, does not check flow and consequently is not completely safe or reliable because messages may be lost, rejected, duplicated, received in the wrong sequence or the arrival rate may be higher than that of the application and receiving process in the network.

A generic process architecture employing UDP as 15 transmission protocol is characterised by being associated to a port according to criteria schematically illustrated in figure 3.

Two basic criteria are used to assign numbers to the reception and transmission ports.

20 A first criterion consists in defining universal assignments in which the respective numbers are official defined and recognised by all parties.

A second criterion consists in defining dynamic binding according to which a program asks for a port whenever needed and the port is assigned by the network software. Reception ports are normally pre-assigned even if they may be modified. Transmission ports may be defined by using either of the two methods.

Specifically, in the diagram shown in figure 3, 30 reference PA generically indicates the various application processes (1, 2, 3 ...) which interface with the UDP protocol via three respective ports.

Additional integrative components called "physical objects" and "logical objects" can be identified, in

4

addition to application process in UDP protocol based management architectures, as shown in greater detail in the diagram shown in figure 4.

Application processes can be additionally split into originator application processes with manager function, generically indicated by reference A and one (or more) destination application processes (called agents) according to the role performed at the time.

The term "physical object" is used for hardware containers or media (e.g. a personal computer) which host other physical objects needed for application operation. The containers are identified in the diagram shown in figure 4 by references P<sub>1</sub> and P<sub>2</sub>. Additional physical objects include the physical (e.g. a RAM) and/or virtual (e.g. file) processing memory and the central processing unit (CPU) employed by the hardware medium or media for running the processes (software, basic firmware, protocols, applications). Memories of this sort are shown in the diagram in figure 4 by references R<sub>1</sub> and R<sub>2</sub>.

In the diagram shown in figure 4, reference W indicates the system software on operating system level and references Y and Z indicate the software consisting of one or more application software oriented protocols (transport) and the network board or CR transducer oriented software (in this case consisting of one or more protocols) for interfacing with the network R.

The following description refers to the diagram in figure 4, which shows the relations between application processes, objects and architectural components. A system software W is used to perform the assigned tasks in a system or device employing an available portion of the processing memory R<sub>1</sub>, R<sub>2</sub>.

A process A residing in system  $P_1$  interfaces with a process B residing in system  $P_2$ , through components W, Y

5

and Z necessarily present in both devices, network boards and physical medium.

The software components A, B, Y, Z and W use and share a certain amount of memory  $R_1,\ R_2$  according to their 5 characteristics.

The maximum usable band is linked to the characteristics of the network R and of the network boards CR, which must necessarily coincide.

The possibility of using an architecture of the type 10 shown in figure 1 is conditioned by a number of factors.

Firstly, the maximum usable band of the network R is conditioned by the number of managers and agents and the amount of generated traffic. The usable band may be maximum only when there are only two devices, i.e. one manager and one agent. The usable band must be shared if there is one manager and several agents. Consequently, the maximum usable band for each communication between manager and agent cannot be guaranteed.

Generally, the communication between a manager and 20 several agents can be managed either by means of a sequential strategy or a parallel strategy.

In the sequential strategy, the manager establishes a communication with an agent and waits for the communication to end before continuing with the next communications.

The parallel strategy exploits the multiplexing and demultiplexing functions offered by the protocol (which is typically a UDP, User Datagram Protocol) through a competitive dynamic port assignment mechanism to establish a certain number of simultaneous communications with several agents.

According to the sequential method, both the manager-to-agent output band (i.e. the sum of total transmitted message sizes divided by the time employed to send them) and the manager input band (i.e. the sum of the total

6

message sizes received by each node manager divided by the time required by the manager to receive them, the reception time being the sum of the agent processing time plus the network delay) are low, because transmission and reception times are long.

According to the parallel method, the manager-to-agent output band is high and the input band may be very high, because transmission and reception times are very short and because the replies are certainly greater than required.

10 The architecture according to the known art shown in figure 1 presents many limitations and shortcomings.

Sequence transmission is not effective when the number of agents exceeds a certain value (e.g. one thousand). This is because the time required to complete activities considerably increases. Furthermore, the architecture in figure 1 generates traffic bursts, also of large dimensions, due to the fact that the traffic generated by the simultaneous requests by managers and the return traffic generated by the agents may occur at the same time.

This may exceed the available band limits with consequently degraded network functionality and loss of messages.

The parallel method employs several manager processes assigned to different UDP ports and this may use up all system resources, such as RAM and CPU.

25 The protocols used by application process, e.g. the aforesaid SNMP protocol or the TFTP Trivia File Transfer Protocol (see document RFC1350), are not optimised for transporting large amounts of information or for working in networks with a high number of protocols. Additionally, the protocols are of the point-to-point type and consequently multilevel architectures cannot be implemented and managed.

Furthermore, as shown in the architecture illustrated in figure 1, all agents should in some way be directly reachable by the manager. The agents which cannot be

7

directly reached by the manager, e.g. because they are connected to different networks from the manager, require the installation of a dedicated manger in order to be managed.

#### 5 Disclosure of the Invention

The object of the invention is to provide a solution capable of overcoming the aforesaid shortcomings.

According to the invention, this object is obtained by a method whose characteristics are specifically recited in the annexed claims. The invention also relates to the corresponding network architecture and the corresponding software, i.e. the software which can be directly uploaded to the memory of a digital processing unit comprising software code portions capable of implementing the method according to the invention when the software is run by at least one digital processing unit.

Essentially, the solution according to the invention implements an optimised multilevel management architecture to subdivide management activities over several machines whereby overcoming the limitation related to the need of utilising a traditional single level architecture. All this limits the use of the band, specifically as concerns the possibility of optimising utilisation of physical manager resources.

25 Briefly, the solution according to the invention consists in realising an intermediate object, i.e. a new type of agent, called "hierarchic agent" capable of receiving sufficient information from the manager to perform the management activities that the manager would 30 perform directly on the controlled agents.

Consequently, as better described in the description that follows, the solution according to the invention is suitable and particularly advantageous when used in combination with compressed UDP message transfer methods.

8

### Brief Description of Drawings

The invention will now be described, by way of example only, with reference to the accompanying drawings wherein:

- figures 1 to 4 refer to the known art and have been described above,
  - figure 5 illustrates the new management architecture according to the invention in general terms,
  - figure 6 illustrates a first form of embodiment of the solution according to the invention,
- 10 figure 7 illustrates a second form of embodiment of the solution according to the invention,
  - figure 8 shows an operative logic example of an architecture according to the invention,
- figure 9 illustrates a possible communication management
  15 diagram within an architecture according to the invention,
  - figure 10 illustrates the shared agent management method,
  - figure 11 illustrates the architecture of a so-called hierarchic agent according to the invention,
- 20 figure 12 illustrates a possible organisation of the structure and nesting of controls supported by a hierarchic agent within the scope of the invention,
  - figures 13 to 15, each split into two parts referred to transmission (part a) and to reception (part b),
- illustrate some preferred forms of embodiment of the solution according to the invention in the form of flow charts,
  - figure 16 is an additional flow chart illustrating the more general characteristics of the solution according to
- 30 the invention, and
  - figures 17 and 18 illustrate additional possible embodiments of the solution according to two possible variants of the invention.

Best mode for Carrying Out the Invention

9

The diagram in figure 5 shows the general architecture according to the invention.

By direct comparison with the diagram in figure 1, an additional module, i.e. an intermediate object called 5 hierarchic agent AG, is integrated in the architecture according to the invention based on the presence of a manager A and a plurality of agents B1, B2, B3 which reciprocally interface.

Essentially, the hierarchic agent AG interfaces with 10 the manager A so as to receive a sufficient amount of information from the manager A to carry out the same management activities of manager A on a certain number of agents B1, B2, B3 (any number of agents is possible).

In the solution according to the invention, manager A may continue to preserve and directly control other agents, indicated by references Bk,..., BN in the diagram in fig. 5.

Obviously, any number of the number of agents B1, ..., BN and any number of divisions for management purposes 20 between hierarchic agent AG and manager A can be implemented.

The architecture schematically shown in figure 5 is used to create multilevel architectures without duplicating manager functions because a new element (i.e. the hierarchic agent AG) may be used to carry out the necessary activities and obtain the required results.

In practice, the intermediate objects called hierarchic agent AG is capable of receiving suitable formatted messages from manager A by being presented as an agent (B1, 30 B2, B3, ...), e.g. SNMP messages containing sufficient information to perform the activities required by the manager A on specific agents identified by means of a network address using specific protocols (SNMP, TFTP, Telnet, DNS, etc.). After the required activities, the AG

module sends the results to the manager A. The interconnection between manager A and hierarchic agent AG may be implemented using the network R (as in the form of embodiment shown in figure 6) or using different networks via a double connection (as the form of embodiment shown in figure 7, where references RP and RA indicate the two networks).

The diagram in figure 7 shows the extreme flexibility of the solution according to the invention.

Specifically, the diagram shows that the first network, indicated by reference RP, may be used for communications between manager A and an agent B1 which continues to be managed directly by the A, for allowing communications between manager A and hierarchic agent AG which "replaces" the manager A in the management of the agents B2 and B3 in a second network indicated by reference RA.

The solution according to the invention also optimises use of the network (or of the networks, in general) in terms of band.

20 Specifically, algorithmic compression is preferably applied on the data contained in the application protocols (OID in SNMP, payload in UDP, etc.) to reduce network traffic due to communication between manager A and hierarchic agent AG.

25 This method essentially consists in subjecting (at least) the message payload to a compression operation preferably based on acknowledgement of sequences which periodically appear in the message. In a specifically preferred way, this compression operation is carried out according to a gzip method, such as zLib.

This method, which will be seen in greater detail with reference to figures 12 and following, reduces the number of exchanged PDU data packages in favour of higher data contents.

11

For example a data PDU in the TFTP protocol uses 516 bytes and consequently 1016 packages are required to transfer a 520 Kb file. Following compression according to the aforesaid criteria, 520 Kb is reduced to 4 Kb, which 5 means that they can be carried in a single UDP data package or SNMP message. Transfer consequently consists in transporting "equivalent application messages" instead of "single messages". This means that the quantity of generated traffic can be reduced, transferred data being 10 equal.

The illustrated solution can also be used to optimise system resources needed to perform the activity.

This is because the illustrated solution distributes the manager's activities over several hierarchic agents AG, according to the criteria shown in figure 10, for example. In this figure, references AG1 and AG2 indicate two hierarchic agents which co-operate with a manager A to manage a certain number of agents B1 to B5, the management of one or more agents (agent B3, in the example shown) being shared by two hierarchic agents AG1 and AG2.

The possibility of sharing the activities of manager A over several hierarchic agents may be exploited to use the resources (CPU, RAM, etc.) which are free at the time. Return traffic to the manager A - generated only at the end of the activities - exclusively by hierarchic agents AG1 and AG2 consists in the results transferred by the hierarchic agents AG1 and AG2 to the manager A. This occurs preferably according to the compressed method, consequently by employing a few packages whose size is medium-to-small and whose data contents is high. These packages do not affect system resources of the manager A needed for decompression and management.

In this way, as shown in the diagram in figure 8, the interaction between manager A and hierarchic agent AG

12

(reference will be made to a single module on this type in the text that follows for the sake of simplicity and extension to several hierarchic agent modules will be obviously understood) is based on performance of micro-5 activities and exchange of signals useful for management.

Specifically, in the step indicated by reference 1100, manager A sends an activity request to hierarchic agent AG in the form of messages, e.g. by using a standard or compressed SNMP message. In the step indicated by reference 10 1102, the hierarchic agent AG receives and analyses the request, starts processing and collecting information. During processing - step 1104 - hierarchic agent AG sends statistic messages and messages for synchronising the status of activities in progress to the manager: this 15 occurs in the step indicated by reference 1106. Having ended the management activities of the managed objects, i.e. the agents, the hierarchic agent AG sends the results to the manager A. This occurs in the step indicated by reference 1108. In the step indicated by reference 1110, 20 the manager A receives and processes the results of the activity by sending a result reception acknowledgement in step 1112. hierarchic agent the message to hierarchic agent AG then ends the required activities in a step indicated by reference 1114.

This cycle may be repeated several times by the manager according to the obtained result. For example, new requests may be sent if some data are considered not sufficient.

The diagram in figure 9 describes the high level logical elements of the hierarchic agent AG and the 30 relations with other components of the architecture.

It will be noted that the diagram in figure 9 essentially corresponds to the architecture in figure 5, in which manager A directly manages some agents Bk, ..., BN, and delegates the management of other agents B1, B2 and B3

13

to hierarchic agent AG. The interfacing of the network R is shown in figure 6.

The manager A interfaces with its direct agents and with the hierarchic agent AG through communication 5 implementing UDP protocol, e.g. using standard or (preferably) compressed SNMP messages.

For this purpose, in addition to the control and management logic LCG, the hierarchic agent includes two modules (indicated by references ARX and ATX, respectively)

10 for managing communications between the hierarchic agent AG and the manager A so that the hierarchic agent AG may be "seen" by the manager A essentially as if it were another agent managed directly by the manager A.

The hierarchic agent AG additionally comprises a multi15 manager module MM which superintends communications between
the hierarchic agent AG and the agents B1, B2, B3, so that
each agent may essentially "see" the hierarchic agent AG as
if it were the manager A.

The manager A and the multi-manager component of the 20 hierarchic agent AG communicate with the various agents using standard methods/protocols.

Consequently, as shown in the diagram in figure 10, one agent (agent B3 in the example shown) may be managed by one or more hierarchic agents AG1 or AG2 controlled by the same 25 manager.

The diagram in figure 11 illustrates the internal architecture of the hierarchic agent AG for implementing the result management and control logic.

In a preferred way, the solution according to the invention is based on complete message compression (header, indicated by references MH, and PDU).

Specifically, two possible different transfer methods or embodiments are employed.

The first nests the SNMP message in a new compressed SNMP

14

message and sends it using standard UDP.

The second controls the UDP directly via a driver providing the result of the SNMP message compression as Data Octet.

The compression method is essentially based on the 5 acknowledgement of sequences which appear periodically in the message.

a particularly preferred form of embodiment, variant of the method known as LZ77 is employed as compression method (see Ziv. J., Lempel A., "A Universal Compression", Data Sequential 10 Algorithm for Transactions on Information Theory, Vol. 23, No. 3, p. 337-343); the method is well known in UNIX environment. It is called gzip (gzip format - RFC 1952) and used also by the popular PKZIP application. The specifications of this 15 method are of public domain. Source libraries are available for implementing and using these solutions in various development environments and operating systems, such as HP-UX, Digital, BeOS, Linux, OS/2, Java, Win32, WinCE.

Specifically, algorithm porting can be used on win32 using the "zLib" library. The main characteristic of the library is to allow runtime and on-memory compression of both binary data structures and strings, which is a fundamental factor in system performance.

The diagram in figure 11 shows the ARX modules and ATX mentioned above with reference to figure 9.

The ARX module is exclusively responsible for collecting the messages from the network R passing them to an input queue I included in a queue management module indicated by reference G.

30 Symmetrically, the ATX module is exclusively responsible for sending the messages from the output queue of the queue manager G indicated by reference U.

Queues manager or Module G is exclusively responsible for analysing the messages from the input queue I, the

15

output queue U and another queue (called working queue) indicated by reference L at each clock pulse.

Said clock signal is generated by a timer module or timer indicated by reference T, which is exclusively responsible for generating the synchronisation clock of the queues manager G.

The messages in the input queue I are taken and sent to a DC module, which is an interpreting module (and decompression module, in a preferred embodiment) of the 10 messages, for future processing at each clock signal generated by the timer T. Said decompressing/interpreting module is indicated by reference DC.

Furthermore, the messages in the working queue L are analysed at each clock signal generated by the timer T; a 15 message indicating activity status in the output queue U are generated for each message in the queue.

At each clock signal from the timer T, the messages in the output queue U are transmitted to the manager through the ATX module.

In greater detail, the DC module is exclusively responsible for analysing each message received by the input queue I, decompressing it if required and sending it according to priority to an activity co-ordinating module CA indicating the method and type of activity to the performed.

The CA module essentially is responsible for:

- instanctiating a concurrent process suitable to the request of the message interpreter by co-ordinating activities through a manager controlling module, indicated
   by CM, and monitoring the status;
  - updating activity status of the requests in the working queue L, and
  - creating statistic check messages to be sent to manager A through the output queue U, these messages

16

containing statistic information on the overall operation status of the instancing concurrent processes.

The CM module is responsible for managing both in a coordinated and separate way possible other protocol manager

5 modules (of which three are shown herein by the way of
example, indicated by references MP1, MP2, MP3) by
collecting and analysing the received information. At the
end of the activity, the result is sent to a message
compiling and compressing module, indicated by reference

10 CCM, in view of the subsequent insertion in the output
queue U for subsequent transmission to the manager A.

The CCM module is exclusively responsible for managing the result of the activities generated by the concurrent process, creating the message and possibly compressing it if the request was compressed, placing it in the queue manager output queue.

Each of the modules called protocol managers MP1, MP2, MP3 (as mentioned there can be any number of managers according to the number of protocols to be managed) is responsible for communicating with agents through a specific respective protocol (e.g. Telnet, SNMP, TFTP, etc.).

Within the system, a univocal identification number is assigned to each message for rapid, error-free identification in the architecture. The characteristics of the hierarchic agent AG agent architecture can be outlined as follows.

The hierarchic agent AG is configured as a lighter module with respect to a traditional manager because simple components are used to emulated network protocols (e.g. SNMP, Telnet, DNS, TFTP, etc.). For example, in the case of the TFTP protocol, only the basic units which make communication with agents compliant with the protocol are implemented instead of the entire server.

17

The hierarchic agent AG is also faster than a traditional manager because it uses and optimises only the RAM of the host system without accessing disks or databases, for example, which is notoriously slower.

5 Additionally, the hierarchic agent AG does not contain complex manager type message processing functions and is consequently more effective with respect to a traditional manager in terms of resource use being activated only be reception of a request from manager A and deactivated at the end of the activity.

The described architecture is used to implement several simultaneous, co-ordinated activities which involve several protocol typologies, providing the possibility of accessing the agents in hierarchic mode, i.e. allowing that a certain agent may be reached by two hierarchic agents, the first of which acts as a primary element and the second which acts as a secondary element to the manager A.

This means that the secondary hierarchic agent can be used when the first is not available.

The described solution is consequently more robust in the event of failures or, in general, (also temporary) unavailability of certain elements.

The availability of ARX and ATX modules for disjoined two-way communication means that high volumes of input traffic can be managed without compromising transmission performance. Particularly, ATX module transmission is managed according to a method which may be called either "timed" or "gaussian" for blocks of messages according to the respective priorities. This approach avoids possible bursts of traffic because a predetermined number of messages is sent at each clock signal according to priority (e.g. twenty priority "1" messages, ten priority "2" messages, eight priority "3" messages, two priority "4" message and one priority "5" messages), while the remaining

18

messages are queued and sent during the next cycle.
Additionally, this prevents the formation of "bottlenecks"
because each message flows from one module to the other
through internal buffers which disjoin the module
processing speeds.

In a particularly preferred embodiment, the structure of the messages used for communication between manager A and hierarchic agent AG, according to the method illustrated in figure 12, presents a header I followed by a data body CI.

In this specific case, the header I typically contains the following information:

- message format version (e.g. 1.0),
- maximum command processing time (in milliseconds),
- compressed contents indicator (1 = encoded, 0 = nonencoded),
  - error description (compiled in messages confirming the absence of errors or containing the text of the error),
    - message size in bytes,

25

- 20 IP address of the agent on which to perform the activity,
  - priority of the message indicated by the manager (0 = priority assigned by the hierarchic agent AG, 1 = maximum, 5 = minimum),
    - identification of the manager of protocol to be used,
      - required activity typology (the command itself),
      - source UDP port of manager request,
      - version of manager A or hierarchic agent AG,
      - univocal identification of request within manager.

The data body CI contains specific information for the protocol manager (MP1, MP2, MP3, ...) to be used to perform the required activities. These indications differentiate according to the activities to be performed and the protocol used. The following contents may be expressed, for

example, as follows:

- SNMP procedure: contains a standard SNMP message with OID SNMP to be requested, typology of operation to be performed (GET, GET NEXT, SET and BULK, etc..),
- Telnet procedure: contains authentication parameters (UID, password), operator command, indication whether to return outputs generated by commands,
- SNMP procedure: contains OID SNMP of all MIB branches to be collected through the standard SNMP operation 10 typology (BULK or GET NEXT),
  - co-ordination procedure: contains multi-protocol co-ordination method in form of script,
- TFTP file management procedure (non standard): contains activity typology (upload or download), list of files to be collected or downloaded,
  - agent reachability test procedure: contains test typology/typologies to be performed via DNS look-up and reverse DNS look-up, ping, Telnet and SNMP port reachability,
- hierarchic agent reachability test procedure: does not contain activities to be performed and is used by manager A to test reachability of the hierarchic agent AG, and
- statistic transmission command: contains data for 25 registering and defining the UDP port of manager A to which statistic data must be sent.

Commands in turn may be compressed and nested using an algorithmic compression method consisting of a compression operation, specifically based on acknowledgement of sequences which appear periodically in the message.

Specifically, as shown in the diagram in figure 12, the header I and the data body CI of the message may be nested in a message structure supported by the hierarchic agent AG comprising a message header MH and the remaining part PDU

20

to generate a SNMP or UDP message susceptible of transiting on IP level.

The flow charts in figure 13 illustrate the method used for compressing (figure 13a) and decompressing (figure 13b) the SNMP message.

The flow charts in figure 14 shown (again with reference to figure 14a for transmission and to 14b for reception) a first solution in which a compressed SNMP message is transferred via SNMP nesting.

The flow charts in figure 15 refer to a transfer solution via UDP nesting. Separate reference is again made to transmission (figure 15a) and to reception (figure 15b).

The diagrams in figures 17 and 18 refer to the total compression and transmission operations exemplified in part of a) of figures 13 and 14 (figure 17) and part a) figures 13 and 15 (figure 18), respectively.

In the flow chart in figure 13, reference 100 indicates the step in which the entire SNMP message (header + PDU) is read and converted, in a subsequent step indicated by reference 102 into hexadecimal format. This occurs by applying BER type encode.

The message encoded in this way is compressed on-memory using a compression method based on the acknowledgement of a recurrent sequence, such as the method documented in the previous mentioned zLib library.

This occurs in a step indicated by reference 104 to obtain a compressed data unit which is ready for transmission in step 106.

Symmetrically, the flow chart in part b of figure 13 comprises four steps 206, 204, 202 and 200 (intended to be processed in the order shown), in which the received compressed data unit (step 206) is subjected to decompression (step 204) in view of subsequent hexadecimal decoding (step 202) with subsequent reconstruction of the

21

internal SNMP message (step 200).

The numeral references of the steps in the flow chart in part b) of figure 13 are reversed with respect to the processing order simply to highlight the symmetry with steps from 100 to 106 of the compression procedure. Similar choices are made with reference to the flow charts in figure 14 and figure 15.

As indicated, figure 14 and figure 17 refer to a transfer solution in which a compressed data unit is nested 10 in a standard SNMP message characterised by a variable binding and standard UDP transmission method.

The compressed data unit nesting method in step 106 consists of an initial step (indicated by reference 108) in which the compressed data unit is read in bytes and then 15 transposed (in a subsequent encoding step indicated by reference 110) into the corresponding set of ASCII characters.

The variable binding of the message consists of a first numbered OID (e.g. 1.3.6.1.4.666.1) which contains the value of the \_ZIP\_xxxx string (where xxxx is the size of the original file) and is generated in the subsequent step, indicated by reference 112 (possibly after auxiliary functions such as ACK TAB + NULL - see block 110a in figure 17). Proprietor code 666.1, which is currently not registered by IANA Internet Assigned Numbers Authority, has been used in the example above.

The subsequent variable binding elements which contain the compressed data unit translated into ASCII consist of OID/value pairs. The value contains portions of the compressed data unit transposed into ASCII format whose maximum size is 255 characters.

The header data of the SNMP message are then reconstructed. This occurs in step 112, which is followed by step 114 in which additional encoding according to BER

22

method is performed to generate the PDU UDP payload intended to be used for sending data (step 116).

Also in this case, the steps indicated by references 216, 214, 212, 210 and 208 in part b) of figure 14, 5 intended to be processed in the order in which they were shown above, are dual functions intended to be implemented during reception of steps from 108 to 116 referred to transmission.

By adopting the solution illustrated in figures 14 and 10 17, the compressed SNMP message has a standard SNMP logic format and a proprietor content. Functional extension (minimal) is consequently required by the agent's manager to permit acknowledgement and encoding/decoding.

Experiments conducted by the Applicant demonstrate that 15 this solution is entirely feasible without negatively affecting network architecture.

The alternative solution (to which reference is made in figures 15 and 18) consists in preparing the compressed data unit starting from the SNMP message according to the method illustrated in figure 13 followed by direct nesting of said data unit in the PDU UDP payload.

Naturally, to ensure correct operation, this solution requires availability of a dedicated transmitter and receiver (e.g. such as the ARX and ATX modules in figures 9 requiring conditions the in 25 and11), for example availability of a different UDP port than standard. transmitter must consequently know which UDP port is used by the receiver and vice versa. Information on used ports by means exchanged higher level on be 30 synchronising message in standard SNMP format according to the criteria which are described in greater detail below.

By adopting the alternative solution illustrated in figures 15 and 18, the compressed data unit made available during step 108 and intended to replace the BER in the

23

message becomes the payload of the UDP message.

The respective operation is outlined in the step indicated by reference numeral 120 in figures 15 and 18. This step preceeds the transmission step 122 destined to the respective dedicated port (called port X in general) of the receiver.

Also in this case, the complementary operation consists of three steps indicated by references 222 (reception via port Y of the module which is receiving at the time), 220 (PDU UDP payload extraction) and 218 (creation of compressed data unit intended to be transferred to step 206 in the flow chart in part b of figure 13).

Also in this case, steps 222, 220 and 218 are performed in the order in which they are shown.

The synchronisation message to which reference was made in the description above is sent by the manager A to the hierarchic agent AG according to a general application-toapplication criteria using standard SNMP format containing a proprietor variable binding.

Transferred data types are:

15

20

OID	Value
1.3.6.1.4.666.2	<udp_tx_port></udp_tx_port>
1.3.6.1.4.666.3	<udp_rx_port></udp_rx_port>

Manager A sends a proprietor message to hierarchic agent AG compiling <UDP\_TX\_Port> with the number of the port intended to be used for UDP transmission (e.g. 1024) and <UDP\_RX\_Port> with the number of the port used for UDP reception (e.g. 1224). The hierarchic agent AG replies to manager A by sending a similar message containing its own information. This method reduces processing time by improving solution efficiency.

The flow chart in figure 16 additionally shows how the described solution may be generalised and applied to any type of message employing UDP for transport (e.g. SNMP,

24

PING, etc.). This generalisation can be exploited to create a UDP driver capable of replacing those currently in use.

This solution consists in evaluating the size of the payload to be transferred and proceeding with the described method if the size is suitable (e.g. greater than 20 bytes). The 8 bits from bit 62 to bit 69 of the UDP message header can be used to state the compact nature of the UDP message, e.g. by setting one of the bits to 1 (this bits are currently not used and set to 0 by default).

Specifically, reference 300 in the diagram in figure 16 indicates any step in which the need to send a message susceptible of being transported in a UDP message is generated followed by a step 302 in which the payload is compressed according to the method described above.

15

A subsequent step 304 consists in generating the UDP message header in the terms mentioned above. A subsequent step indicated by reference 306 corresponds to the creation of the complete UDP message to prepare for IP transmission (implemented in step 308).

Naturally, numerous changes can be implemented to the construction and embodiments of the invention herein envisaged without departing from the scope of the present invention, as defined by the following claims.